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| APPLICATION NO.  | FILING DATE         | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.      | CONFIRMATION NO. |
|--|---------------------|----------------------|--------------------------|------------------|
| 09/890,129   | 08/02/2001          | Anne Flisher         |                          | 1828             |
| 324  | 7590 12/11/2002     |                      |                          |                  |
| CIBA SPECIALTY CHEMICALS CORPORATION PATENT DEPARTMENT 540 WHITE PLAINS RD |                     |                      | EXAMINER                 |                  |
|  |                     |                      | BERMAN, SUSAN W          |                  |
| P O BOX 2005<br>TARRYTOWN, NY 10591-9005                                   |                     | ART UNIT             | PAPER NUMBER             |                  |
| TARRETTO   | W14, 141 10551 5005 |                      | 1711                     | 7_               |
|  |                     |                      | DATE MAIL ED: 12/11/2002 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.  | Applicant(s)  |  |  |  |
|--|--|---|--|--|--|
| •  |  |   |  |  |  |
| Office Action Summary  | 09/890,129   | FLISHER ET AL.  |  |  |  |
| · Office Action Gummary  | Examiner   | Art Unit  |  |  |  |
| The MAILING DATE of this communication app   | Susan W Berman   | 1711 t with the correspondence address  |  |  |  |
| Period for Reply   |  |   |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period wi - Failure to reply within the set or extended period for reply will, by statute, - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).  Status | 6(a). In no event, however, ma<br>within the statutory minimum o<br>Il apply and will expire SIX (6)<br>cause the application to becom | y a reply be timely filed  If thirty (30) days will be considered timely.  MONTHS from the mailing date of this communication.  Be ABANDONED (35 U.S.C. § 133). |  |  |  |
| 1) Responsive to communication(s) filed on 28 C  | ctober 2002 .  |   |  |  |  |
| 2a)☐ This action is <b>FINAL</b> . 2b)⊠ Thi  | s action is non-final.   |   |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |  |   |  |  |  |
| closed in accordance with the practice under E Disposition of Claims   | <i>-х рап</i> е Q <i>иауіе</i> , 1935  | C.D. 11, 453 O.G. 213.  |  |  |  |
| 4) Claim(s) 1-20 is/are pending in the application.  |  |   |  |  |  |
| 4a) Of the above claim(s) <u>12-16</u> is/are withdrawn from consideration.  |  |   |  |  |  |
| 5) Claim(s) is/are allowed.  |  |   |  |  |  |
| 6)⊠ Claim(s) <u>1-11 and 17-20</u> is/are rejected.  |  |   |  |  |  |
| 7)☐ Claim(s) is/are objected to.   |  |   |  |  |  |
| 8) Claim(s) <u>1-20</u> are subject to restriction and/or e<br>Application Papers  | lection requirement.   |   |  |  |  |
| 9) The specification is objected to by the Examiner  |  |   |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.   |  |   |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |  |   |  |  |  |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.   |  |   |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.   |  |   |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.  |  |   |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120  |  |   |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  |  |   |  |  |  |
| a)⊠ All b)□ Some * c)□ None of:  |  |   |  |  |  |
| 1. Certified copies of the priority documents  | have been received.  | ·   |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No   |  |   |  |  |  |
| <ul> <li>3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |  |   |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).   |  |   |  |  |  |
| a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  |  |   |  |  |  |
| Attachment(s)  | ,  |   |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 4.   | · —  | iew Summary (PTO-413) Paper No(s) o of Informal Patent Application (PTO-152)  |  |  |  |

Application/Control Number: 09/890,129

... Art Unit: 1711

### Election/Restrictions

Applicant's election without traverse of Group I, claims 1-11 and 17-19, in Paper No. 6 is acknowledged. Claims 12-16 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made without traverse in Paper No. 6.

It is noted that the restriction requirement should have been a lack of unity requirement based on the lack of a single inventive concept linking the claims of Groups I and II. The claims are not linked by a single inventive concept because the method step of irradiating a water-soluble or water-swellable polymer in the presence of an ultraviolet initiator is well known in the art of radiation polymerization. See Cywar et al (6,262,141),).

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 3, 17 and 18 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The claims do not clearly set forth that the intensity of light radiation is measured in mW per cm<sup>2</sup>.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

Application/Control Number: 09/890,129

Art Unit: 1711

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

- (e) the invention was described in-
- (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or
- (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

Claims 1-11 and 17-20 are rejected under 35 U.S.C. 102(e) as being anticipated by Cywar et al (6,262,141). Cywar et al teach polymerizing vinyl monomers, including acrylamide, in aqueous solution activated by in the presence of redox initiators or a thermal initiator and in the presence of an ultraviolet photoinitiator. The product is then irradiated with an intensity such as 15 mW/cm² during the period of drying. See column 4, lines 25-39, lines 46-67, column 5, lines 23-34, the "Gelled Polymer Synthesis" and Example 1. With respect to claim 5, the polymers disclosed by Cywar et al would be expected to have the instantly claimed intrinsic viscosity because the polymers produced are provided by the same monomers and method steps as are instantly claimed.

Claims 19-20 are rejected under 35 U.S.C. 102(b) as being anticipated by each of Kanluen et al (5,185,385), EP 0 2990 814, Perrault (5,800,685), or Baumstark et al (5,756,574). The crosslinked polymers disclosed, although produced by different process steps, would not be expected to be materially different in structure or properties from the instantly claimed products.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 1711

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-11 and 17-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over EP 0 290 814 in view of Cywar et al '141. EP '814 discloses the instantly claimed method except for adding an ultraviolet initiator to the monomer mixture. Cywar et al, in analogous art, teach that vinyl monomers can be polymerized in aqueous solution by activation of redox or thermal initiators in the presence of an ultraviolet initiator. It would have been obvious to one skilled in the art at the time of the invention to add an ultraviolet initiator to the monomer mix in the method disclosed by EP '814 in order to take advantage of the initiating properties of the ultraviolet initiator during the step of irradiation with ultraviolet light.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Susan W Berman whose telephone number is 703 308 0040. The examiner can normally be reached on M-F 9:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Seidleck can be reached on 703 308 2462.

The fax phone numbers for the organization where this application or proceeding is assigned are 703 872 9310 for regular communications and 703 872 9311 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 308 0661.

Susan W Berman

Lusan Berma

Primary Examiner

Art Unit 1711